

# A Survey of Parallel Machine Scheduling under Availability Constraints

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**Abstract** – This paper reviews results related to the parallel machine scheduling problem under availability constraints. The motivation of this paper comes from the fact that no survey focusing on this specific problem was published. The problems of single machine, identical, uniform, and unrelated parallel machines under different constraints and optimizing various objective functions were analyzed. For every surveyed problem, the solving algorithms and their complexities were presented.

**Keywords**- Parallel machine scheduling; availability constraints; maintenance.

## I. INTRODUCTION

Machine scheduling under availability constraints is a real industrial problem that attracted more and more attention during the last years. In fact, the machines may be subject to accidental breakdowns, periodic preventive maintenance, tool changes, workers availability, and availability of the resources used by the machines, and so on. Most of the research done in this area assumed that the non-availability of the machines is mainly due to preventive maintenance activities. There are two classes of problems considered depending on whether the scheduling of preventive maintenance activities is determined before the scheduling of jobs or jointly with the scheduling of jobs. The first problem is often referred in the literature as scheduling with machine availability where the maintenance periods are known and fixed in advance and denoted by  $P_m$  (Periodic maintenance). The second problem is called joint/simultaneous production and maintenance scheduling problem and denoted by  $J_m$  (Jointly production/maintenance). Despite the interdependent relationship between the production scheduling and the maintenance planning, the two activities are generally planned and executed separately in real manufacturing systems (class 1). This kind of schedules may result in an unsatisfied demand or machine breakdowns if the production and maintenance services do not respect the requirements of each others. Hence, it is crucial that the maintenance and production services collaborate in order to maximize the system productivity (class 2).

Maintenance strategies can be broadly classified into Corrective Maintenance (CM) and Preventive Maintenance

(PM) strategies. Corrective maintenance is used to restore (repair or replace) some equipment to its required function after it has failed. This strategy leads to high levels of machine downtime (production loss) and maintenance (repair or replacement) costs due to sudden failure. The concept of PM involves the performance of maintenance activities prior to the failure of equipment. One of the main objectives of PM is to reduce the failure rate or failure frequency of the equipment. This strategy contributes to minimizing failure costs and machine downtime (production loss), and increasing product quality. The PM decision making is based on facts acquired through real data analysis. In the literature, several kinds of PM interventions are considered including time-based, condition-based, and experience-based. For more details about these PM strategies refer to [5].

Based on the degree of the information on the job data in advance, we can distinguish two kinds of scheduling problems. The first one, known as offline problem is when all job information is available at one time before scheduling. The second one referred to as online scheduling, builds the schedule of jobs on the machines as soon as they arrive, without any knowledge about the next jobs that follow [14].

In the last decade, the job scheduling under maintenance constraints was widely applied to a variety of machine models. These models can be classified into two categories: single machine and multi-machine models. The multi-machine model includes parallel machines, performing the same function, and dedicated machines being specialized of the execution of certain operations. A dedicated machine model can be flow shop, open shop, and job shop. In this paper, we deal only with scheduling parallel machines subject to availability constraints.

The remaining part of this paper is structured as follows. Section 2 introduces the parallel machine scheduling problem, its classes and the classical rules and heuristics used to solve it. The most common notations to describe the studied scheduling problems will be given in section 3. Section 4, representing the main part of this paper, includes a deep survey of the published results about the one machine

as well as the parallel machine cases. The last section presents the conclusions.

## II. PARALLEL MACHINE SCHEDULING

Parallel machines scheduling is at hand when machines of similar type and eventually slightly different in characteristics are available in multiple numbers. Jobs can be processed over these machines simultaneously. The Fig. 1 illustrates the Parallel machines scheduling environment.

Parallel machines can be classified into three categories; identical, uniform and unrelated parallel machines [9]. Let  $p_{ij}$  be the processing time of the job  $j$  on the machine  $M_i$  where  $1 \leq i \leq m$  and  $1 \leq j \leq n$ .

- *Identical parallel machines:* All the parallel machines are identical in terms of their speed. Thus, each and every job will take the same amount of processing time on each machine:  $p_{ij} = p_{1j}$  for all  $i$  and  $j$ .
- *Uniform parallel machines:* The parallel machines have different speeds. Consider  $s_1, s_2, \dots, s_m$  be the speeds of the machines  $1, 2, \dots, m$  respectively with the relation  $s_1 < s_2 < \dots < s_m$ . The processing times of the jobs are given by  $p_{ij} = p_{1j}/s_i$  for all  $i$  and  $j$ .
- *Unrelated parallel machines:* The processing times  $p_{ij}$  for all  $i$  and  $j$  are arbitrary and have no special characteristics.

Classical parallel machines scheduling problems are often solved using priority rules-based algorithms and heuristics. These priority rules are easy to implement and their computational complexity is low. The commonly used priority rules and algorithms are:

- *Shortest Processing Time rule (SPT):* Jobs are arranged in ascending order of their processing times. Jobs with small values of processing times are given high priority for scheduling.
- *Preemptive Shortest Processing Time rule (PSPT):* This rule is a variation of SPT. At any time, the job with the minimum remaining time is to be processed first.
- *Weighted Shortest Processing Time rule (WSPT):* For some scheduling problems, each job  $j$ ,  $1 \leq j \leq n$  is described by its processing times  $p_{ij}$  on the machine  $M_i$ ,  $1 \leq i \leq m$  and a weight  $w_j$  indicating certain priority. According to WSPT rule, jobs are arranged in increasing order of the ratios  $p_{ij}/w_j$ .
- *Earliest Due Date rule (EDD):* Jobs are processed according to the increasing order of their due dates.
- *Longest Processing Time rule (LPT):* Jobs are arranged in decreasing order of their processing times. Jobs with large values of processing times are given high priority for scheduling.

- *Critical Path rule (CP):* This rule is applied when precedence relationships represented by a tree are considered between jobs. Jobs of the highest level are scheduled first.
- *Largest Number of Successors rule (LNS):* In the precedence graph, jobs with largest number of successors are given highest priority.
- *Longest Remaining Processing Time first rule (LRPT):* This rule is to be applied when job preemptions are allowed. Jobs having longest remaining processing time are scheduled first.
- *Longest Remaining Processing Time first-Fastest Machine rule (LRPT-FM):* This rule is to be applied when job preemptions are allowed. Jobs having longest remaining processing time are assigned to the fastest machine.
- *Shortest Remaining Processing Time rule (SRPT):* This rule is to be applied when job preemptions are allowed. Jobs having shortest remaining processing time are scheduled first.
- *List Scheduling (LS):* Given a sequence of jobs, assign them one by one according to the list. Each job is assigned to the machine where the job can be finished as early as possible.
- *Best Fit Decreasing (BFD):* An efficient approximation algorithm for Bin-Packing problem [48].

Many methods can be used for evaluating the performance of the approximation algorithms. A classical method was to run these algorithms on selected sample problem instances. An alternative theoretical approach is to evaluate approximation algorithms using probabilistic techniques. These methods suffer from some major drawbacks. A commonly used evaluation technique is based on bounding the worst-case behavior of a particular approximation algorithm using the best worst-case ratio  $r$ —the closest rate to 1 obtained by dividing the optimal value by the best bounded value of the approximation algorithm [27].

## III. NOTATIONS

The most common notations used in the literature are summarized in table I.

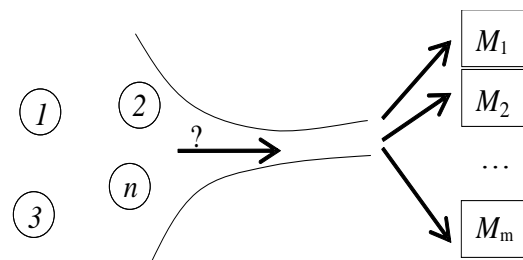


Figure 1: Parallel machines scheduling environment.

TABLE I: MOST OFTEN USED NOTATIONS IN SCHEDULING

|                           |   |
|---------------------------|---|
| $j$                       | Job; $1 \leq j \leq n$  |
| $i$                       | Machine or resource index; $1 \leq i \leq m$  |
| $M_i$                     | Machine or resource $i$ .   |
| $M_j$                     | Specific subset of machines that can process job $j$ .  |
| $H$                       | Planning horizon.   |
| $p_{ij}$                  | Processing time of job $j$ on machine $M_i$ . The subscript $i$ is dropped for single machine environment or if the job $j$ has a constant processing time on all machines. |
| $p_{jri}$                 | Processing time of job $j$ if it is scheduled in the $r^{\text{th}}$ position after a maintenance activity on the machine $M_i$ .   |
| $b_{ji}$                  | Aging factor of job $j$ scheduled on machine $M_i$ .  |
| $r_j$                     | Release date of the job $j$ .   |
| $d_j$                     | Due date of the job $j$ .   |
| $w_j$                     | Weight of the job $j$ .   |
| $C_j$                     | Completion time of the job $j$ .  |
| $W_j$                     | Waiting time of the job $j$ , $W_j = C_j - p_j$   |
| $q_j$                     | Latency duration or tail of the job $j$ .   |
| $L_j$                     | Lateness of the job $j$ ; $L_j = C_j - d_j$   |
| $T_j$                     | Tardiness of the job $j$ ; $T_j = \max(C_j - d_j, 0)$   |
| $T_{j,1}$ and $T_{j,2}$   | Starting and ending dates respectively of the unavailability period on machine $M_j$ .  |
| $U_j$                     | $U_j = \begin{cases} 1 & \text{if } C_j > d_j \\ 0 & \text{otherwise} \end{cases}$  |
| $T_M$                     | Time needed to perform one maintenance task.  |
| $MS[T, T^*]$              | Maintenance spacing: the time between any two consecutive maintenance is within the interval $[T, T^*] = [T, T + \varepsilon]$  |
| $h_{jk}$                  | $k$ holes (unavailability periods) on machine $M_j$ .   |
| $s_i^k$                   | Starting time of the $k^{\text{th}}$ unavailability period on $M_i$ ; in case there is only one hole, the superscript $k$ is dropped.                                       |
| $e_i^k$                   | Ending time of the $k^{\text{th}}$ unavailability period on $M_i$ ; in case there is only one hole, the superscript $k$ is dropped.   |
| $B_i^l$                   | Beginning time of the $l^{\text{th}}$ availability interval of $M_i$ ; the subscript $i$ is dropped for single machine.   |
| $F_i^l$                   | Finishing time of the $l^{\text{th}}$ availability interval of $M_i$ ; the subscript $i$ is dropped for single machine.   |
| $[b_i, e_i)$              | Interval in which machine $M_i$ is planned to be shutdown   |
| $rm$                      | Rate modifying maintenance activity   |
| $C_{\max}$                | Makespan = $\max\{C_j, j=1, 2, \dots, n\}$  |
| $C_{\max}^i$              | Makespan of machine $M_i$   |
| $\sum_{i=1}^m C_{\max}^i$ | Total machine load  |
| $L_{\max}$                | Maximum lateness = $\max\{L_j, j=1, 2, \dots, n\}$  |
| $T_{\max}$                | Maximum tardiness = $\max\{T_j, j=1, 2, \dots, n\}$   |
| $TC$                      | Total completion times, $TC = \sum_{i=1}^n C_i$   |
| $TW$                      | Total waiting times, $TW = \sum_{i=1}^n W_i$  |
| $TADC$                    | Total Absolute Deviation of Completion times $TADC = \sum_{i=1}^n \sum_{j=i}^n  C_i - C_j $   |
| $TADW$                    | Total Absolute Deviation of Waiting times $TADW = \sum_{i=1}^n \sum_{j=i}^n  W_i - W_j $  |
| $MILP$                    | Mixed Integer Linear Programming  |
| $ubC$                     | Upper bound on $C_{\max}$   |

To be able to refer to the studied scheduling problems in a concise way, in the following we will use the standard three fields notation  $\alpha|\beta|\gamma$  introduced in [26]. Each field may be a comma separated list of words.

The parameter  $\alpha = \alpha_1, \alpha_2, \alpha_3, \alpha_4$  field describes the machine environment,  $\beta$  the job's characteristics, and  $\gamma$  the objective function. Since we focus our study only on parallel machines problems, we omit entries which are not relevant. The parameter  $\alpha_1 \in \{1, P, Q, R\}$  where:

- 1: For parallel machine system with flexible single machine.
- P: For parallel machine system with identical machines.
- Q: For parallel machine system with uniform machines.
- R: For parallel machine system with unrelated machines.

The parameter  $\alpha_2$  denotes the number of machines. Different patterns of machine availability are often discussed. These are constant, zigzag, decreasing, increasing, staircase, and arbitrary. According to these cases,  $\alpha_3 \in \{\phi, NC, NC_{zz}, NC_{dec}, NC_{inc}, NC_{sc}, NC_{win}\}$  denotes the machine availability [18]. In some studies, the machine availability constraint is denoted by  $a$  or  $ma$ . The parameter  $\alpha_4$  appears in only few studies to mention whether interrupted jobs are resumable ( $rs$ ), semiresumable ( $sr$ ), non resumable ( $nr$ ), or a mixture between of them ( $rs/nr$ ). These characteristics are added in some studies to the field  $\beta$ .

The parameter  $\beta = \beta_1, \beta_2, \dots, \beta_5$  describes the job characteristics. The parameter  $\beta_1 = \phi, \beta_1 = t-pmtn$ , or  $\beta_1 = pmtn$  indicates respectively nonpreemption, preemption and arbitrary preemption. An alternative notation  $\beta_1 = r-a, \beta_1 = nr-a$ , or  $\beta_1 = sr-a$  is sometimes used to denote respectively, resumable, nonresumable and semiresumable availability constraints. The parameter  $\beta_2 \in \{\phi, r_j\}$  where  $\phi$  indicates that all jobs are ready at time zero and  $r_j$  denotes jobs with different release dates. The parameter  $\beta_3 \in \{\phi, d_j\}$  indicates whether the jobs come with due dates or not. The parameter  $\beta_4 \in \{\phi, q_j\}$  indicates whether the jobs have tails. The parameter  $\beta_5 \in \{offline, online\}$  denotes that the scheduling problem is either offline or online.

The third field denotes the optimization criterion  $\gamma \in \{C_{max}, \sum C_j, \sum w_j C_j, L_{max}, T_{max}, \dots\}$

#### IV. SURVEY ANALYSIS

To the best of our knowledge, all the previous surveys studying the scheduling problems under machine availability didn't focus on parallel machines [14], [18], and [23]. In [23], the author classified his study into one machine, parallel machines, and flow shop problems. In each class of problems, many criteria such as the makespan and the sum of completion times were considered. The problem's classification in [14] is similar to [23] with

addition of job shop and open shop cases. However, in [18] the authors structured their paper into deterministic and stochastic problems. For every problem type, the cases under resumable and nonresumable availability constraints for one machine, parallel machines, flow shop and job shop were surveyed.

Our survey analysis will be structured as follows. The first part focuses on one machine scheduling problems under availability constraints. The second part will concern the parallel machine problems. These latter will be grouped into two classes, the identical parallel machine and the uniform/unrelated parallel machines. Tables II and III summarize the criteria, the solving algorithms and methods, and their complexity/worst case ratios for the one machine and identical parallel machine scheduling problems respectively.

##### A. One machine

The one machine scheduling under availability constraints was of big interest in the last decade. The majority of related works considered fixed maintenance periods (frequently one) known as scheduling under machine availability problems. Few studies treated the case of jointly scheduling jobs and maintenance [38], [47], [46], and [35]. Various job/maintenance constraints were considered; resumable/non-resumable, job deterioration [28], repair rate-modifying maintenance activities [35], [47], sequence dependent setup costs [29], [31], etc. To the best of our knowledge, no study considered the online scheduling of maintenance and jobs on one machine. Table II summarizes the main results of one machine scheduling under availability constraints.

##### B. Identical Parallel machines

The identical parallel machine scheduling problem is the most studied among the three types of parallel machines. The special case of two machines was of bigger interest and was often solved polynomially. In [3], [7], [13], [15], and [21] the jobs are non-resumable after they have been interrupted by an availability period. Rules like LPT, LS, CLS, and approximation scheme found near optimal solutions with small worst case ratios for the problems in [7], [15], and [21]. Due to the complexity of the problem, the online scheduling or the resumable jobs constraints were rarely considered ([15], [21], and [10]).

The general problem of  $m$  machines ( $m > 2$ ) is widely studied under different constraints such as resumable/non-resumable jobs, and offline/online scheduling and various objective functions including makespan, total completion times, etc ([6], [8], [11], and [12]). Results of identical machine scheduling under availability are summarized in the table III.

TABLE II: MAIN RESULTS OF ONE MACHINE SCHEDULING UNDER AVAILABILITY CONSTRAINTS

| Problem  | Solving approaches  | Worst case/competitive ratio or Complexity | Reference |
|--|---|--|-----------|
| $1 nr - PM C_{\max}$   | Two stage binary integer programming model  | Optimal                                    | [49]      |
|  | Two heuristics (for large-sized problems)   | < 0.01                                     |           |
| $1 p_j g_j^{[x]}, MP^{[k-1]} C_{\max}$   | 4 polynomial algorithms   | -  | [47]      |
| $1 nr - a, p_j = \alpha S_j, \text{online} C_{\max}$                             | LS-based Optimal approximation algorithm  | $b_1/t_0$                                  | [33]      |
| $1 nr - a, p_j = \alpha S_j C_{\max}$  | Modified LS-based Optimal approximation algorithm – Largest Growth Rate                   | -  |           |
| $1 nr - a, p_j = \alpha S_j \sum C_j$  | Smallest Growth Rate-based heuristic algorithm  | 0.63                                       |           |
| $1 h(1), N - res \sum w_j C_j$   | Approximation algorithm based on the knapsack problem                                     | $2 + \varepsilon$                          | [41]      |
| $1 nr, (s, t), p_{jr} C_{\max}$  | An $O(n^3)$ – heuristic algorithm   | 2  | [39]      |
| $1 nr, (s, t), p_{jr} \sum C_j$  | An $O(n^3)$ – heuristic algorithm   | Unbounded                                  |           |
| $1 nr, (s, t), p_{jr}, d_j = d \sum U_j$   | An $O(n^3 \log n)$ – heuristic algorithm  | -  |           |
| $1 h(1) \sum w_j C_j$  | $O(n \log n), O(n^3), O(n^2)$ heuristics and Branch & Bound algorithm (B&B)               | -  | [42]      |
| $1 nr - pm C_{\max}$   | LPT   | 2  | [37]      |
| $1 m, r - a E[C_{\max}]$   | Lemma   | -  | [35]      |
| $1 m, r - a \sum E[C_j]$   | SPT   | Optimal                                    |           |
| $1 m, r - a MaxE[L_j]$   | EDD   | Optimal                                    |           |
| $1 m, r - a E[\max L_j]$   | EDD   | Optimal                                    |           |
| $1 m, nr - a E[C_{\max}]$  | Theorem   | -  |           |
| $1 m, nr - a \sum E[C_j]$  | Theorem   | -  |           |
| $1 m, nr - a MaxE[L_j]$  | Theorem   | -  |           |
| $1 m, nr - a E[MaxL_j]$  | Theorem   | -  |           |
| $1 nr - a T_{Max}$   | Heuristic + B&B   | $O(2^n \sum_{i=1}^n p_i)$                  |           |
| $1 pjm C_{\max}$   | 6 Bin packing-based heuristics  | 2, 3, 4                                    | [32]      |
| $1 nr - h(1) \sum w_i C_i$   | Fully polynomial-time approximation algorithm   | $O(2^n / \varepsilon^2)$                   | [40]      |
| $1 nr - fpa C_{\max}$  | Heuristic   | 2  | [30]      |
| $1 r - a, p_j = \alpha_j w_j C_{\max}$   | 0-1 integer programming algorithm   | $1 + \varepsilon$                          | [34]      |
| $1 p_{ir} = (p_i - at)r^b, ma C_{\max}$  | Sorting algorithm + theorem   | $O(n^2 \log n)$                            | [38]      |
| $1 p_{ir} = (p_i - at)r^b, ma \sum C_i$  | Sorting algorithm + theorem   | $O(n \log n)$                              |           |
| $1 p_{ir} = (p_i - at)r^b, ma TADC$  | Theorem   | $O(n^2 \log n)$                            |           |
| $1 p_{ir} = (p_i - at)r^b, ma \sum \alpha E_i + \beta T_i + \gamma d + \delta D$ | Theorem   | $O(n^2 \log n)$                            |           |
| $1 a \sum U_i$   | 2-phase heuristic algorithm   | -  | [45]      |
| $1 h(1) \sum C_i$  | Three exact methods: (B&B), Mixed Integer Programming (MIP), and Dynamic Programming (DP) | Optimal                                    | [44]      |
| $1 r - a \sum C_i$   | SPT   | Optimal                                    | [42]      |
| $1 nr - a \sum C_i$  | SPT   | 2/7  |           |
|  | B&B and DP  | Optimal                                    |           |

TABLE III: MAIN RESULTS ON SCHEDULING IDENTICAL PARALLEL MACHINES UNDER AVAILABILITY CONSTRAINTS

| Problem  | Solving approaches                         | Worst case/competitive ratio (cr) or Complexity   | Reference |
|--|--|---|-----------|
| $P2   nr - a   \sum w_j C_j$   | Fully polynomial-time approximation scheme | $1 + \epsilon$  | [7]       |
| $Pm   nr - a   \sum w_j C_j$   |  |   |           |
| $P_{1,m}, h_{k_1}, h_{k_2}, \dots, h_{k_m}   nr - a, w_i = p_i   \sum w_i C_i$               | Polynomial-time approximation scheme       | $1 + \epsilon$  | [6]       |
| $P_{0,m}, h_{k_1}, h_{k_2}, \dots, h_{k_m}   nr - a, \text{fixed}, w_i = p_i   \sum w_i C_i$ |  |   |           |
| $P_{1,m}   \text{online}   C_{\max}$   | Optimal algorithm                          | 2   | [8]       |
| $Pm, k   nr - a   C_{\max}$  | LS   | $1 + \frac{m-1}{m-k}$ , when $k < m$  | [11]      |
| $Pm, k   nr - a   \sum C_i$  | SPT  | At most $1 + \frac{m-1}{m-k}$ , when $k < m$  |           |
| $P2, k   nr - pm   C_{\max}$   | Bin-packing based heuristic                | At most $\max(1.6 + 1.2\sigma, 2) / O(n^2)$   | [13]      |
| $P2, k   nr - jm   \sum_{j=1}^n C_j$   | SPT  | $1 + 2\sigma$   |           |
| $Pm   nr - a   C_{\max}, \min\{\max_{t \in D} \{\bar{A}_S(t)\}\}$                            | Ant Colony based Algorithm                 | $O(mn^2)$   | [12]      |
| $P2, M, PU    C_{\max}$ (PU: Periodically Unavailable)                                       | LPT  | 3/2   | [15]      |
| $P2, M, PU   \text{online}   C_{\max}$   | LS   | 2   |           |
| $Pm, h_{j1}    \sum C_i$   | MILP, DP, and B&B                          | $O(nm \prod_{j=1}^m T_{j,1} \prod_{j=1}^{m-1} (ubC - T_{j,2}))$                         | [16]      |
| $Pm, MS [T, T']    C_{\max}$   | $T' \neq T$ : BFD-LPT                      | $\frac{2T'}{T}$   | [17]      |
|  | $T' = T$ : BFD-LPT                         | At most 3/2   |           |
| $Pm, NC_{win}   pmt_n, r_j, M_j   C_{\max}$  | Binary search algorithm                    | $O\left(\left((n+m)^3(n+k)^3\right) \log H\right)$                                      | [19]      |
| $Pm, r_j   \text{ordinal online}   C_{\max}$   | Ordinal algorithms                         | $m = 2, 3, m \geq 4, cr \geq \frac{3}{2}, \frac{13}{8}, 2 - \frac{1}{m-1}$ respectively | [22]      |
| $Pm   nr - a   C_{\max}$   | LPT-based Matching technique               | $\frac{2m-1}{3m-2}$   | [24]      |
| $Pm, [b_i, e_i)    C_{\max}$   | LPT  | 2 (if no more than $\frac{m}{2}$ machines are simultaneously shutdown)                  | [25]      |
| $Pm, NC_{inc}   r_j, q_j   C_{\max}$   | B&B  | Optimal   | [20]      |
| $P2   nr - a, \text{online}   C_{\max}$  | Modified LS algorithm: CLS                 | 5/2   | [21]      |
| $P2, r_j   nr - a   \delta_1 TC + \delta_2 TADC$   | Assignment problem-based algorithm         | $O(n^4)$  | [3]       |
| $P2, r_j   nr - a   \delta_1 TW + \delta_2 TADW$   | Assignment problem-based algorithm         | $O(n^4)$  |           |
| $Pm, r_j   nr - a   \delta_1 TC + \delta_2 TADC$   | Theorem                                    | $O(n^{m+3})$ if $m > 2$   |           |
| $Pm, r_j   nr - a   \delta_1 TW + \delta_2 TADW$   | Theorem                                    | $O(n^{m+3})$ if $m > 2$   |           |
| $P_{1,1}   r - a, pmmt   \sum C_j / C_{\max}$  | PSPT-based algorithm                       | $O(n \log n)$   | [10]      |
| $P_{1,1}   r - a, pmmt   C_{\max} / \sum C_j$  | PSPT-based algorithm                       | $O(n \log n)$   |           |
| $P2   r - a, pmmt   C_{\max} / \sum C_j$   | PSPT-based algorithm                       | $O(n \log n)$   |           |



### C. Uniform and Unrelated parallel machines

According to the exhaustive search we've made concerning the uniform and unrelated parallel machine scheduling under availability constraints, few recent papers were found. In [8] the authors investigated an online scheduling on two uniform parallel machines where one machine is periodically unavailable. This problem is denoted by  $Q_{1,2}|online|C_{max}$  and solved by an optimal algorithm with competitive ratio  $1+1/s$  ( $s \geq 1$ : speed of the 2<sup>nd</sup> machine). In case when  $0 < s < 1$ , the authors proposed some lower bounds on competitive ratio. They also studied special case where  $s=1$  and jobs arrive in decreasing sequence and proved that LPT proposed in [15] is optimal with competitive ratio  $3/2$ .

The unrelated parallel machine scheduling including availability constraints was studied in [1], [2], [4], and [9]. All these papers published by the same group of researchers, treat the availability constraints in different aspects. In [4] the authors investigated the parallel machine scheduling problem with aging effect and multi-maintenance activities simultaneously. They studied the following two problems

$$Rm | p_{ji}, ma \leq h_{jk} | \sum_{i=1}^m C_{max}^i \quad \text{and}$$

$$Rm | p_{ji} = p_{ij} + \alpha b_{ji}, ma \leq h_{jk} | \sum_{i=1}^m C_{max}^i \quad \text{and proposed two}$$

efficient algorithms with complexity  $O(n^{m+3})$ . In [9] deteriorating maintenance activities were considered. The objective is to minimize the total completion time or the total machine load. They showed that both versions of the problem can be optimally solved in  $O(n^{m+3})$ . Advanced complexity studies related to the paper [9] were published in [1]. It was proved that the algorithm complexity remains the same no matter the processing time of a job after a maintenance activity is greater or less than its processing time before the maintenance activity. Furthermore, in [2] the authors considered simultaneously deterioration effects and deteriorating multi-maintenance activities. The objective is to find jointly the optimal maintenance frequencies, the optimal maintenance positions, and the optimal job sequences such that the total completion time is minimized. All the versions of the problem under study were solved in a polynomial time.

### V. CONCLUSION

In this paper we presented a large survey on parallel machine scheduling with availability constraints. We decomposed the problems according to their machine environment; one machine, identical parallel machines, and uniform and unrelated parallel machines. The results related to the problem description, solving algorithms and their complexities for one machine and identical parallel machines were summarized in Table II and Table III. The uniform and the unrelated parallel machine scheduling problems were rarely studied and thus few results were published.

The survey analysis showed that almost all results are concentrated on the offline version of problems. Few results

considered the online case. This is not always realistic in the real industry. In addition to that, the jobs are generally considered non resumable. However, this assumption needs to be taken into account for many real life problems.

Concerning the machine availability constraints, all the studied problems assume that the periods during which the machines are not available for processing jobs are fixed in time and number. But, the maintenance activities can be planned in a flexible or in a non-deterministic ways. In fact, the machines are subject to random breakdowns.

We think that considering more realistic constraints such as online scheduling, resumable jobs, and nondeterministic availability constitute interesting research directions.

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